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Sorting of capsules according to their stiffness: from principle to application†
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 Edgar Häner,^a Doriane Vesperini,^b Anne-Virginie Salsac,^b Anne Le Goff ^b and Anne Juel ^{*a}

 15 We assess experimentally the ability of a simple flow-based sorting device, recently proposed numerically by [Zhu *et al.*, *Soft Matter*, 2014, **10**, 7705–7711], to separate capsules according to their stiffness. The device consists of a single pillar with a half-cylinder cross-section which partially obstructs a flow channel so that initially centred, propagating capsules deform and circumvent the obstacle into an expanding channel (or diffuser). We perform experiments with millimetric capsules of fixed size which indicate that the deviation of the capsule in the diffuser varies monotonically with a capillary number – the ratio of viscous to elastic stresses – where the elastic stresses are measured independently to include the effects of pre-inflation, membrane thickness and material properties. We find that soft capsules with resistance to deformation differing by a factor of 1.5 can be reliably separated in the diffuser but that experimental variability increases significantly with capsule stiffness. We extend the study to populations of microcapsules with size polydispersity. We find that the combined effects of increasing capsule deformability and relative constriction of the device with increasing capsule size enable the tuning of the imposed flow so that capsules can be separated based on their shear modulus but irrespectively of their size.
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 30
1 Introduction

 35 The sorting of cells from complex suspensions such as blood is key to many medical diagnostic and treatment strategies.¹ The propensity of cells to deform under mechanical loading is a biophysical marker which can help distinguish normal and cancerous cells. Cancerous cells are usually softer than their healthy analogues, but they may also be stiffer in a few instances. In fact, normal to cancerous cell stiffness ratios between 0.7 and 32 have been measured by atomic force microscopy in a wide range of human tissues.² In malaria, the progressive reduction in red blood cell (RBC) deformability is associated with the growth and development of the parasite inside the cell as well as an approximately threefold increase in shear modulus of the encapsulating membrane, measured locally with pipette aspiration. These effects have been shown to result in an approximately tenfold increase in apparent shear modulus of the RBC.³ RBC disorders such as sickle cell disease are also associated with increasing cell stiffness – an
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 approximately threefold increase in membrane shear modulus⁴ – and a broadening of the cell size distribution.⁵

 RBCs are examples of natural capsules consisting of a fluid core encapsulated by a semi-permeable membrane.⁶ In fact, the transport and flow-induced deformation of RBCs and other biological cells are commonly modelled with idealised capsules and soft beads.^{7,8} The complex fluid–structure interaction flows of single particles or suspensions depend of the relative magnitude of hydrodynamic and elastic stresses. Flow-based separation of cells and capsules according to stiffness generally relies on channel geometries which promote extensional flows and thus capsule deformation. However, the resistance to deformation of a capsule is sensitive to both shear modulus of the membrane and capsule size if assuming constant membrane thickness. This means that robust sorting devices must either pre-sort cells according to size or be insensitive to size polydispersity. In this paper, we demonstrate experimentally the sorting of capsules according to their shear modulus irrespectively of their size.
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 Methods developed for the size-based separation of rigid, spherical particles such as deterministic lateral displacement (DLD) devices consisting of arrays of pillars^{9,10} have been extended to sort particles according to their stiffness, as recently demonstrated with a two-dimensional numerical model of vesicle transport in a DLD.¹¹ In contrast, inertial separation techniques¹² have shown reduced efficiency when
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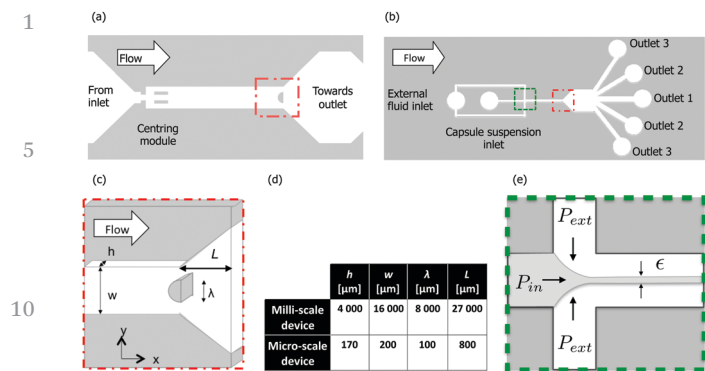


Fig. 1 Schematic diagram of the sorting device viewed from above. Fluid and capsules flow from left to right. (a) Millifluidic device. The centring module, which is aligned along the centreline of the channel, consists of two parallel beams which are 10 mm long and separated by a distance of 4 mm. (b) Microfluidic device. (c) Close-up view of the half-cylinder obstacle of diameter λ . The capsules propagate in the rectangular channel of width w and height h with an offset κ of their centroid from the centreline of the channel. Both geometries include centring modules to minimize κ . The capsules deform when they approach the obstacle, retain their deformation while circumventing it and relax their shape as they flow into the diffuser of length L and opening angle of 45° which leads to the outlets. (d) Comparison between the key dimensions in the millifluidic and microfluidic devices. (e) Schematic diagram of the flow-focusing module used to perfuse and centre the capsules in the microscale device. Capsules suspended in glycerol were injected at P_{in} and this centred fluid thread was narrowed by injection of pure glycerol at P_{ext} through the cross-branches of the module to a width $\epsilon < D$.

applied to deformable particles.¹³ The use of branched geometries has been investigated numerically in order to design a deformability-based sorting device⁸ and explore the path selection of a capsule in the presence of inertia with a view to designing an enrichment device.^{14,15} Moreover, sorting according to deformability was recently demonstrated experimentally in a T-junction for capsules of fixed size.¹⁶ Moderate to high throughput experimental cell-sorting methods are also emerging on microfluidic platforms. These include the deformation of single cells through generations of tapered constrictions driven by oscillatory flow,¹⁷ the flow through a periodic array of ridges oriented diagonally to the main stream¹⁸ and rapid image analysis of individual cells deformed in a cross-flow with a throughput of up to 2000 cells per second.¹⁹

In this paper, we focus on a simple sorting device recently introduced numerically by Zhu *et al.*²⁰ where a single pillar, with a half-cylinder cross-section, partially obstructs a flow channel; see Fig. 1. When capsules flowing along the centreline of the channel reach the pillar they are compressed along the flow direction and thus elongate tangentially to the curved obstacle. The deformation of the capsule as it circumvents the obstacle determines its trajectory in the downstream expanding channel (or diffuser) which it reaches after clearing the constriction created by the pillar. In the limit of Stokes flow, the deformation of the capsule is governed by an elastic capillary number, which corresponds to the ratio of viscous to elastic shear stresses. Zhu *et al.*²⁰ used three-dimensional boundary integral simulations to show distinct paths taken

by capsules of different elasticity when circumventing the pillar. A microfluidic realisation of this device was used to demonstrate experimentally that elastic microcapsules differing by a factor of approximately three in shear modulus could travel along separate trajectories if the imposed flow was sufficiently strong.²¹

The objective of this paper is to comprehensively assess the sorting ability of the half-cylinder device for capsules of fixed size and different stiffness but also for polydisperse capsule populations. Experiments performed on neutrally buoyant, millimetric capsules of controlled shape, size and stiffness are used to demonstrate that the trajectories of constant-size capsules are governed by an effective capillary number based on a measure of the force required to deform the capsule between parallel plates. This metric accounts for the effect of pre-inflation and different membrane thickness in addition to variations in the elastic properties of the membrane. Hence, they can be reliably separated but we find that the device performance decreases significantly with increasing capsule stiffness. We then turn to the sorting of two populations of microcapsules with shear moduli differing by a factor of three, where each population comprises a wide range of capsule sizes between 20 μm and 120 μm . In addition to the increased propensity of the larger capsules to deform, the relative channel constriction which must be cleared by the capsule to reach the diffuser increases as the capsule size increase because the size of the device is fixed. We find that these two effects combine to separate capsules according to stiffness irrespective of the capsule size, provided that the capsules are larger than the width of this constriction.

The outline of the paper is as follows. The methods used to fabricate and test capsules in similar millimetric and microfluidic devices are detailed in Section 2 and the flow field in the microdevice is mapped out with rigid tracer particles in Section 2.3. The paths of capsules in the two devices are compared in Section 3.1 for similar relative capsule sizes. The results of experiments on millimetric capsules of fixed size and polydisperse microcapsule populations are then presented in Sections 3.2 and 3.3, respectively. The significance of the results in terms of stiffness-based sorting is discussed in Section 4.

2 Materials and methods

2.1 Capsule preparation and characterisation

2.1.1 Millimetric capsules. The millimetric capsules consisted of a liquid core encapsulated in a cross-linked ovalbumin-alginate membrane.²² Their preparation and characterisation have been previously described in detail.¹⁶ Briefly, we prepared spherical ovalbumin-alginate gel beads by dropwise addition of a solution in water of sodium-alginate (1% w/v), propylene glycol alginate (2% w/v) and ovalbumin (8% w/v) to a solution of calcium chloride (10% w/v). We then cross-linked their outer-shell before re-liquefying the gel core of the bead. The manufactured capsules were stored in a saline solution (11 g l⁻¹ NaCl) and reached equilibrium after

1 approximately 24 hours. During this period, water permeated
 through their membrane, which resulted in the inflation of the
 capsules to a size larger than the initial bead radius by up to
 25%, depending on membrane elasticity and initial size. This
 5 implies the presence of a significant pre-stress in the capsule
 membrane. Solid beads were made in a similar manner to
 capsules, except that their core was not re-liquefied.

We selected four capsules with an average diameter $D = 3.90$
 ± 0.03 mm and one elastic bead $D_{\text{bead}} = 3.83$ mm for
 10 experimentation.¹⁶ The capsules were from several batches
 manufactured under different experimental conditions in order
 to access different stiffness values. Hence, the capsules also
 had different values of inflation ($1.15 \leq D/D_{\text{bead}} \leq 1.24$),
 membrane thickness h ($0.18 \leq 2h/D \leq 0.23$) and sphericity
 15 (ratio of the minimum to maximum diameter $0.88 \leq D_{\text{min}}/D_{\text{max}} \leq 0.93$).
 The sphericity of the elastic bead was $D_{\text{min}}/D_{\text{max}} = 0.85$.

We characterised the elastic properties of each capsule by
 measuring the constitutive relation that governs capsule de-
 formation by compression testing between parallel plates. An
 20 Instron 3345 Single Column Testing System (5 N load cell,
 accuracy ± 0.5 mN) was used to measure the force exerted by a
 top plate lowered quasi-statically to compress a capsule placed
 on an anvil within a saline bath.¹⁶ Measurements were per-
 formed at most three days before conducting the flow experi-
 25 ments since the age of the capsule influences its mechanical
 properties significantly. The large deformations routinely
 observed when capsules were propagated in flow meant that
 a nonlinear form of the constitutive law was necessary to
 characterise their deformation. In addition, their wall thickness
 30 was significant which meant that a membrane model was not
 appropriate for these capsules and the stiffness due to pre-
 inflation is not captured by the surface shear modulus of the
 capsule membrane. Hence, we chose the force required to
 deform a capsule to 50% of its original diameter, $F_{50\%}$, as a
 35 direct experimental measurement of the capsule resistance to
 deformation. The measured values of $F_{50\%}$ for each capsule and
 the elastic bead are listed in Table 1.

2.1.2 Micrometric capsules. Ovalbumin microcapsules
 were prepared by interfacial cross-linking.²⁴ Briefly, a water-
 40 in-oil emulsion was formed by mechanical agitation using a
 10% ovalbumin solution in a phosphate buffer at pH 5.9 or pH
 8 for the preparation of soft and stiff capsules, respectively.
 Cyclohexane added with 2% (w/v) sorbitan trioleate was used as
 the external phase. Cross-linking of ovalbumin at the oil/water
 45 interface was induced by adding 2.5% (w/v) terephthaloyl chlor-
 ide to the organic phase. After 5 minutes, the chemical reaction

was stopped by dilution with a solution of chloroform:cyclo-
 hexane (1:4, v/v). Capsules were then rinsed with an aqueous
 solution of polysorbate, followed by pure water. They were
 stored in water at 4 °C and suspended in glycerol when needed
 for the flow experiments. The viscosity of the encapsulated fluid
 5 (supernatant of the capsule suspension) was measured using a
 cone-plate viscometer (Haake) and found to vary in the range
 $0.8 \leq \mu \leq 0.9$ Pa s between experiments.

The elastic properties of the microcapsules were determined
 by inverse analysis techniques.²⁵ Capsules were imaged during
 10 steady propagation in a straight, fluid-filled capillary tube of
 circular cross-section to measure their two-dimensional con-
 tours in the mid-plane and velocity U . The viscosity μ of the
 suspending fluid was measured separately. The shape of an
 initially spherical capsule flowing through a narrow capillary of
 15 diameter d depends solely on two parameters: the confinement
 ratio D/d , which can be extracted from experimental data, and
 the capillary number, defined as the ratio of viscous to elastic
 forces $Ca = \mu U/G_s$, where G_s is the surface shear modulus of the
 capsule and U is the mean velocity of the suspending fluid.²⁶
 20 The capillary number, and thus the value of G_s , were deter-
 mined for each capsule by comparison between the contour
 shape and a library of numerical profiles obtained for the
 appropriate confinement ratio and a range of Ca .^{25,27} Fig. S1
 in the ESI† shows how experimental and numerical capsule
 25 profiles are matched for a range of confinement ratios to yield
 several similar values of the shear modulus, which are then
 averaged. This gave surface shear modulus values of $G_s = 0.030$
 ± 0.007 N m⁻¹ and $G_s = 0.081 \pm 0.026$ N m⁻¹ for the capsules
 fabricated at pH 5.9 and pH 8, respectively, with 5 min
 30 reticulation time. These results are consistent with previously
 published characterisation of capsules prepared according to
 the same protocol.²⁵

The polydispersity of the two microcapsule populations was
 35 quantified in sample of approximately 170 capsules, which
 were confined between a glass slide and a cover slip separated
 by a 150 μm thick spacer. Images from an inverted microscope
 (DMIL LED, Leica Microsystems GmbH, Germany) were ana-
 lysed with ImageJ to determine the diameter of each capsule
 using a circular fit. We found that the mean and standard
 40 deviation of the capsule diameter to be $D = 60 \pm 18$ μm and
 $D = 79 \pm 21$ μm for stiff and soft capsules, respectively.

2.2 Sorting devices

Similar sorting devices were used to separate millimetric and
 45 micrometric capsules according to their stiffness (Fig. 1(a and
 b)). They consisted of a main channel of rectangular cross-
 section with width w and depth h listed in Fig. 1(d) alongside
 other key dimensions. In the microfluidic device, the capsule
 confinement ratio varied with the diameter of the capsule (0.10
 $< D/w < 0.59$ and $0.12 < D/h < 0.71$), whereas it was fixed in
 the millifluidic experiments because of the approximately con-
 50 stant capsule diameter ($D/w = 0.24$ and $D/h = 0.97$). The main
 channel was partially obstructed at its downstream end by a
 standing half-cylinder of diameter λ and height equal to the
 channel depth, with its curved surface facing the main channel,
 55

Table 1 Force required to compress the selected capsules and the elastic
 bead to 50% of their initial height during compression tests in a saline bath
 using an Instron 3345 Single Column Testing System (5 N load cell,
 accuracy ± 0.5 mN)^{16,23}

	Capsules				Elastic bead
	C1	C2	C3	C4	C5
$F_{50\%} \pm 0.5$ (mN)	4.5	6.2	7.4	21.9	> 33

1 as shown in Fig. 1(c). In both cases, centring devices were
2 included in order to minimise the offset κ of the capsule
3 centroid from the centreline of the main channel. Past the
4 obstacle, the width of the channel increased linearly so that the
5 stiffness-dependent displacement of the capsule imposed by
6 the obstacle could be amplified. We henceforth refer to this
7 region of the device as the diffuser, which featured an opening
8 angle of 45° in both devices. We now describe the experimental
9 details pertaining to each sorting device in Sections 2.2.1
10 and 2.2.2.

11 **2.2.1 Millifluidic experiments.** The millimetric sorting
12 device (Fig. 1(a)) was machined out of cast acrylic sheets
13 (Perspex, Gilbert Curry Industrial Plastics Co. Ltd) using a
14 CNC milling machine. It consisted of two facing plates screwed
15 together. Each plate was milled flat to within $25\ \mu\text{m}$ prior to the
16 milling of the channels. The top plate featured fluid inlets and
17 reservoirs while the millimetric channels were milled into the
18 bottom plate.

19 The sorting device was levelled horizontally to within 0.5°
20 and back-lit with a custom-made LED light box. Capsule
21 propagation was recorded in top-view at frame rates between
22 1 and 250 frames per second, using a monochrome CMOS
23 camera (PCO, 1200 hs) and a 50 mm micro-lens (Nikon)
24 mounted vertically above the experiment. The capsules were
25 propagated in degassed silicone oil (polydimethylsiloxane, Dow
26 Corning, 5000 cS) of viscosity $\mu = 5.23 \pm 0.04\ \text{Pa s}$ and density ρ
27 $= 970 \pm 10\ \text{kg m}^{-3}$ measured at the laboratory temperature of
28 $21^\circ \pm 0.5^\circ\text{C}$. The capsules were approximately neutrally buoyant,
29 with a downward vertical drift velocity of less than $0.2\ \text{mm}$
30 min^{-1} when freely suspended in a beaker of silicone oil.

31 Before inserting a capsule into the liquid-filled device, any
32 water on its outer surface was removed with a paper towel. The
33 difference between the refractive indices of water and silicone
34 oil makes water easily identifiable in the experimental images.
35 If a water film initially coated the capsule, it could be isolated
36 and removed from the experiment by pushing the capsule
37 through the flow channel at a high flow rate. At the start of
38 each experiment, a capsule was positioned at the inlet of the
39 device. A constant flow was then imposed by injecting silicone
40 oil into the inlet of the flow device using a syringe pump
41 (KDS410, KD Scientific) fitted with a 50 ml stainless steel
42 syringe (WZ-74044-36, Cole-Parmer). In all the millifluidic
43 experiments, the Reynolds number was $\text{Re} = \rho Q/(\mu h) < 9 \times$
44 10^{-2} . A centring device consisting of two 10 mm long parallel
45 beams separated by a distance of 4 mm was positioned near the
46 inlet of the device in order to minimise the offset of the capsule
47 centroid to typical values $\kappa \leq 0.5\ \text{mm}$.

48 The visualisation in top-view yields images of the capsules in
49 the horizontal plane of view averaged over the depth of field.
50 The centroid of the projected area of the capsules was deter-
51 mined from the contours extracted from these images. We used
52 Python 2.7 and OpenCV (<https://opencv.org/>), a cross-platform,
53 open-source computer vision library, for the image analysis. We
54 applied background subtraction with either an adaptive thresh-
55 old or a simple uniform threshold determined *via* the Otsu
56 method.²⁸ In the resulting black and white image, all contours

57 were identified with a Canny filter.²⁹ Typical results of the
58 image analysis are shown in Fig. S2 in the ESI.†

59 **2.2.2 Microfluidic experiments.** The microfluidic sorter
60 (Fig. 1(b)) was fabricated in polydimethylsiloxane (PDMS) using
61 standard soft lithography methods. Briefly, a mixture of liquid
62 PDMS and crosslinker (Sylgard 184, Dow Corning) was poured
63 onto a photoresist-coated silicon master (Microfactory, Paris),
64 degassed and cured for 2 hours at 70°C . Two inlets and five
65 outlets were created using a 0.75 mm biopsy punch before the
66 chip was sealed onto a glass slide following plasma treatment.
67 PTFE tubing was used to connect the chip to fluid reservoirs.
68 The length of the inlet (outlet) tubes was 80 cm (25 cm) and
69 their inner diameters 0.3 mm (0.56 mm), respectively. The
70 outlet reservoirs were open to the ambient air at atmospheric
71 pressure.

72 In contrast with the millifluidic device, flow was driven by a
73 constant pressure head imposed by a pressure controller
74 (MFCS, Fluigent, France). Two pressurised reservoirs supplied
75 fluid *via* the two inlets to a flow-focusing module sketched in
76 Fig. 1(e), which was located at the upstream end of the main
77 channel. Injection of a suspension of capsules in glycerol from
78 the first reservoir at pressure P_{in} led to a fluid thread which was
79 narrowed to a width ε by streams of pure glycerol injected *via*
80 the cross-branches of the flow-focusing module from the sec-
81 ond reservoir at pressure P_{ext} . We adjusted the values of P_{in} and
82 P_{ext} so that $\varepsilon < D$ which enabled the centring of the capsule
83 in the main channel. We imposed two values of the pressure head
84 with $(P_{\text{ext}}, P_{\text{int}}) = (1000\ \text{mbar}, 200\text{--}267\ \text{mbar})$ and $(P_{\text{ext}}, P_{\text{int}}) =$
85 $(4500\ \text{mbar}, 1200\ \text{mbar})$, which we will refer to henceforth as
86 low and high flow strengths, respectively. These resulted in a
87 wide distribution of offsets of the capsule centroid with an
88 average value of $\kappa = 5\ \mu\text{m}$ for soft capsules and stiff capsules at
89 low flow strength. Offsets were larger for stiff capsules at high
90 flow strength with an average value of $\kappa = 9\ \mu\text{m}$.³⁰ We measured
91 the capsule velocity upstream of the obstacle for both flow
92 strengths and capsule populations. For soft capsules, we found
93 $U = 1.0 \pm 0.2\ \text{mm s}^{-1}$ at low flow strength, and $U = 5.0 \pm 0.3\ \text{mm}$
94 s^{-1} at high flow strength and for stiff capsules, $U = 0.7 \pm$
95 $0.1\ \text{mm s}^{-1}$ at low flow strength, and $U = 4.8 \pm 0.6\ \text{mm s}^{-1}$
96 at high flow strength. In all the microfluidic experiments, the
97 Reynolds number was $\text{Re} = \rho U w / \mu < 10^{-3}$.

98 During operation, the sorter was placed on the stage of an
99 inverted optical microscope (DMIL LED, Leica Microsystems
100 GmbH, Germany) equipped with a high speed camera (Fastcam
101 SA3, Photron, USA). Images were acquired at 5000 frames
102 per second. A $10\times$ magnification was chosen so that propagat-
103 ing capsules could be tracked from the obstacle to the diffuser.
104 Images were analysed using the ImageJ software.

105 2.3 Flow field in the microfluidic sorter

106 The role of the diffuser is to amplify the stiffness-dependent
107 displacement of the capsule imposed by the obstacle by
108 increasing the separation between capsules of different stiff-
109 ness. Prior to introducing capsules to the device, we mapped
110 typical trajectories past the obstacle and through the diffuser by
111 propagating *Lycopodium* spores (Sigma Aldrich). These are

rigid particles with a diameter of approximately $20\ \mu\text{m}$, which is less than half the width of the narrowest passages in the device between the half-cylinder and the side walls of the main channel, hereafter referred to as the constriction. Therefore, they could be made to propagate through the narrow passages at different distances from the obstacle.

By injecting a very dilute suspension of Lycopodium powder in glycerol through the cross-branches of the flow focusing module (Fig. 1(e)) at $(P_{\text{ext}}, P_{\text{int}}) = (4500\ \text{mbar}, 1200\ \text{mbar})$, we captured a range of different trajectories, which are shown in Fig. 2(a). The experimental pathlines are reminiscent of Stokes flow in a diverging channel although streamlines are locally distorted by the finite-size particle. This is consistent with the small value of the Reynolds number. The distance between two neighbouring trajectories increases monotonically as particles travel downstream of the obstacle and their pathlines become approximately linear in the second half of the diffuser. We quantified the separation between particles by measuring the displacement δ of the particle centroid from the centre line of the channel (see the inset of Fig. 2(b)) and the angle β between the linear portion of the trajectory in the diffuser and the channel centre line.

Fig. 2(b) shows that β increases approximately linearly with δ . Each symbol indicates a different value of the driving pressure P_{ext} , taking care to adjust the internal pressure P_{in} in order to ensure a thin thread of inner fluid. All the data collapse onto a master curve fitted by a straight line. It indicates that the trajectories are independent of the flow strength within the parameter range explored, thus confirming the absence of inertial effects. The limits of $\beta = 0$ (trajectory parallel to the channel centre line) and $\beta = 45^\circ$ (trajectory adjacent to the diffuser walls) cannot be reached because of the finite size of the particles. The trajectory angle of a particle which flows

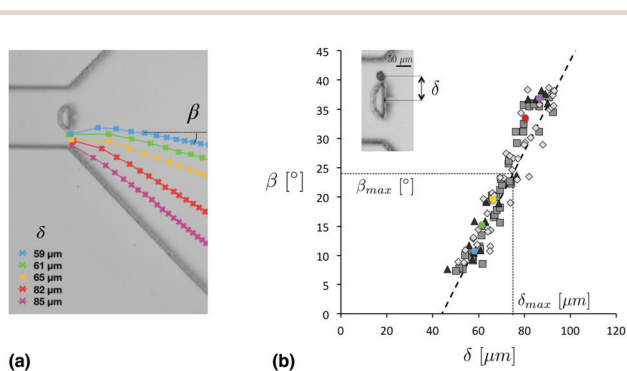


Fig. 2 (a) Trajectories of lycopodium particles with a diameter of approximately $20\ \mu\text{m}$ measured under high flow strength, for different values of the distance δ between the particle centroid and the channel centreline, defined graphically in the inset of (b). (b) Variation of the trajectory angle β defined graphically in (a) as a function of δ for lycopodium particles. Grey squares, triangles and diamonds correspond, respectively, to $P_{\text{in}} = 267, 1200, 2400\ \text{mbar}$ and $P_{\text{ext}} = 1000, 4500, 6000\ \text{mbar}$. The coloured markers indicate the values of β associated with the trajectories shown in (a). The dashed line is a linear fit to the data. $\delta_{\text{max}} = 75\ \mu\text{m}$ is the distance from the centreline of the channel to the middle of the constricted channel adjacent to the half-cylinder obstacle. The angle $\beta_{\text{max}} = 24^\circ$ is the trajectory angle associated with δ_{max} .

through the centre of the constriction ($\delta_{\text{max}} = \lambda/2 + (w - \lambda)/4 = 75\ \mu\text{m}$) is approximately $\beta_{\text{max}} = 24^\circ$ in the microfluidic device. Note that we expect a similar value in the millifluidic device because the size ratio w/λ is the same and so is the opening angle of the diffuser. Values of $\beta > \beta_{\text{max}}$ correspond to particles travelling through the constriction closer to the channel wall than to the obstacle wall. When capsules are propagated along the centre line of the main channel, as discussed in Section 2.2.2, they deform as they approach the obstacle and circumvent it adjacent to its surface. Also, the capsules that flow past the obstacle necessarily have a local width that is less than the constriction width $(w - \lambda)/2$. Hence, they are associated with a centroid position $\delta \leq \delta_{\text{max}}$, which yields $\beta \leq \beta_{\text{max}}$.

3 Results

3.1 Capsule trajectories through the sorting devices

Typical capsule trajectories are shown in Fig. 3, where time-lapse images indicate how a single capsule travels from left to right through the millifluidic device (a, b) and the microfluidic device (c, d). The time interval between images varies depending on the dynamics of the capsule. In each panel, the capsule initially propagates with constant velocity towards the half-cylinder obstacle along the centre line of the channel. As the capsule approaches the obstacle, the imposed flow compresses the capsule along the streamwise direction so that it starts to elongate orthogonally to the centre line (see, e.g., Fig. 3(b)). This compression increases significantly when the distance from the centroid of the capsule to the apex of the obstacle falls below one capsule radius, and the capsule then deforms by elongating approximately tangentially to the obstacle. If the capsule were perfectly centred and both flow and capsule were perfectly symmetric about the centre line of the channel, the capsule would remain trapped at the stagnation point on the apex of the obstacle. Instead, the small offset κ (see Section 2.2), unavoidable in experiments, allows the capsule to continue to travel past one side of the obstacle into the diffuser. The sign of κ determines the side of the half-cylinder circumvented by the capsule. The frames where the capsule is adjacent to the obstacle have been coloured in order to highlight the evolving deformation of the capsule in this region.

The same millimetric capsule (C1) is shown for low and high values of the flow rate in Fig. 3(a and b), while in Fig. 3(c and d) two microcapsules of similar diameter are shown for low and high pressure heads, respectively. At low flow velocities (Fig. 3(a and c)), the capsules deform only weakly on the obstacle and their centroid therefore follows streamlines into the diffuser that diverge significantly away from the centreline of the device. In contrast, the larger capsule deformations incurred at high flow velocities (Fig. 3(b and d)) mean that the capsule centroids approach the surface of the obstacle more closely and thus that the capsules are entrained along streamlines that remain closer to the centre line.

All the capsules propagate along approximately straight lines in the diffuser when they are more than two capsule

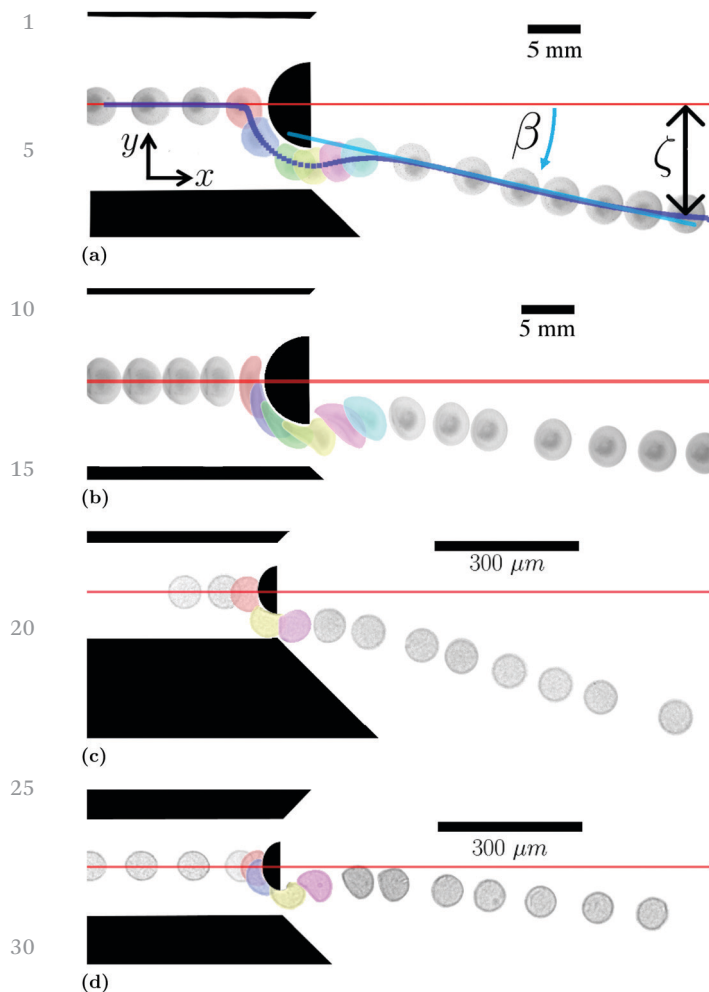


Fig. 3 Sequences of time-lapse experimental images showing the propagation of a single capsule through the sorting device. (a and b) Capsule C1 in the millifluidic device: (a) $Q = 2 \text{ ml min}^{-1}$; (b) $Q = 50 \text{ ml min}^{-1}$. (c and d) Microfluidic device with soft capsules of diameter $D = 60 \text{ }\mu\text{m}$: (c) low flow strength ($P_{\text{ext}}, P_{\text{in}} = (1000 \text{ mbar}, 200\text{--}267 \text{ mbar})$); (d) high flow strength ($P_{\text{ext}}, P_{\text{in}} = (4500 \text{ mbar}, 1200 \text{ mbar})$). In each panel, the centre line of the channel is shown with a red, solid line. In (a), the trajectory of the capsule centroid is shown with blue squares. A solid blue line indicates the portion of diffuser where the capsule trajectory is approximately linear. ζ is the distance of the capsule centroid from the centre line at the end of the diffuser. β is the angular deviation of the capsule trajectory from the centerline.

diameters from its extremities (in the streamwise x -direction). This is illustrated in Fig. 3(a) where the trajectory of the capsule centroid is shown with blue squares and a superposed solid blue line highlights the region of the diffuser where the trajectory is linear. As in Section 2.3, we quantify the deviation of the capsules from the centre line with the angle β spanning the arclength from the centre line of the device to the capsule trajectory, which is independent of the measurement location along the diffuser. We also define the capsule displacement ζ as the distance separating the centroid of the capsule from the centreline at the end of the visualisation window. In Fig. S3 of the ESI,[†] we show that ζ varies linearly with β for all

experiments performed in both milli- and microfluidic devices. Fig. 3 shows that β decreases for increasing flow rate or pressure head. The use of different types of capsules and different capsule confinement ratios in the two devices suggests that this behaviour is robust.

3.2 Single capsule experiments

3.2.1 Influence of flow rate and capsule stiffness on capsule trajectories in the diffuser.

The trajectory angle β is shown as a function of flow rate in Fig. 2(a) for millicapsules of different stiffness (C1–C4) and for the elastic bead C5 (see Table 1). The symbols shown in Fig. 2 correspond to mean values from four to fourteen experiments performed for each value of flow rate and the vertical error bars indicate the standard deviations. After each experiment, the capsule or elastic bead returned to its undeformed configuration and we did not detect any plastic deformation, consistent with the compression experiments discussed in Section 2.1.1. For each capsule, β decreases monotonically with increasing flow rate. This is because capsule deformation on the obstacle increases with Q as previously shown in Fig. 3. For flow rates $Q \leq 5 \text{ ml min}^{-1}$, β decreases more steeply the softer the capsule. This decrease becomes gentler for $Q \geq 5 \text{ ml min}^{-1}$ and a clear separation emerges between the trajectory angles of capsules of different stiffness, indicating that for a given flow rate Q , the trajectory angle β increases with capsule stiffness.

In contrast with capsule behaviour, the trajectory angle of the elastic bead (C5) remains approximately constant over the entire range of flow rates investigated. This is because the deformation of the elastic bead is small within this parameter range, so that its trajectory is approximately independent of the flow rate applied. Hence, the elastic bead, which is at least 50% stiffer than the stiffest capsule (C4) and 8 times stiffer than the softest capsule (C1), behaves approximately like a rigid sphere in this device. The trajectories of the elastic bead were therefore used to estimate the trajectory angle for a rigid particle, $\beta_{\text{max}} = 17.6^\circ \pm 0.5^\circ$, which also corresponds to the maximum angle of a capsule in the limit of vanishing deformation. In contrast, the smallest trajectory angle recorded for the softest capsule (C1) is $\beta_{\text{min}} = 8.6^\circ \pm 0.1^\circ$ for $Q \gtrsim 25 \text{ ml min}^{-1}$.

In Fig. 4(b), the trajectory angle is shown as a function of the elastic capillary number based on the force $F_{50\%}$ required to compress the capsule between parallel plates to half of its diameter (see Section 2.1.1),

$$\text{Ca}_{50\%} = \mu \frac{Q}{hw} \frac{D}{F_{50\%}},$$

where μ the viscosity of the fluid, hw the cross-sectional area of the main channel and D the capsule diameter.¹⁶ The main source of error on the capillary number is the $\pm 0.5 \text{ mN}$ uncertainty on the value on $F_{50\%}$, which is propagated into horizontal error bars in Fig. 4(b).

The data collapse onto a monotonically decreasing master curve as a function of $\text{Ca}_{50\%}$ to within experimental uncertainty. After a steep initial decrease for $\text{Ca}_{50\%} \leq 0.01$, β appears to tend towards an approximately constant value at large capillary

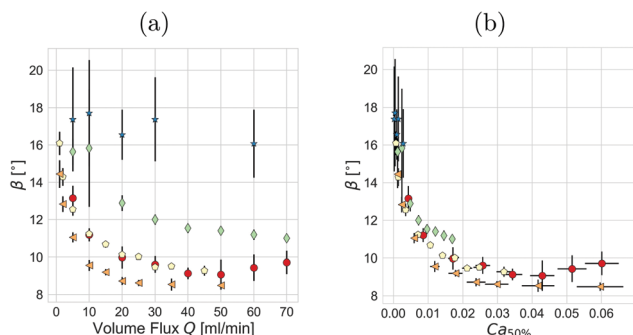
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Fig. 4 Deflection angle of capsule trajectories in the millimetric half-cylinder device: (a) as a function of volume flux and (b) as a function of $Ca_{50\%}$. Orange triangles: capsule C1; red circles: C2; white pentagons: C3; green diamonds: C4; blue stars: elastic bead C5. The symbols denote mean values, the vertical error bars indicate the standard deviation of multiple experiments and the horizontal error bars in (b) reflect the measurement error on the stiffness of the capsules. For the capsules, $|\kappa| < 0.5$ mm ($|\kappa|/D \leq 0.13$) and for the elastic bead C5, $|\kappa| < 1.2$ mm ($|\kappa|/D \leq 0.3$).

15

20 numbers ($Ca_{50\%} > 0.03$). The occurrence of a non-zero plateau value is expected in the limit of large $Ca_{50\%}$ (corresponding to infinitely soft objects) because the distance between the capsule centroid and the obstacle must remain greater than its membrane thickness in order to ensure the conservation of fluid within the capsule. However, we remain far from this idealised limit (see Fig. 3(b)), which would in practice be superseded by capsule rupture.

25 Fig. 3(b) indicates that the capillary number is the only dimensionless parameter required to describe the displacement of capsules of fixed diameter in the half-cylinder millifluidic device. It demonstrates that the sorting strategy proposed by Zhu *et al.*,²⁰ in which the balance between hydrodynamic forces and capsule elasticity governs capsule deformation in the constriction and thus influences the trajectory, can be realised experimentally. Moreover, it could also enable the measurement of the relative stiffness of the capsules through experiments at a suitable constant flow rate that separates the capsules in the diffuser.

30 **3.2.2 Resolution of the sorting device.** The experimental measurements previously shown in Fig. 4 demonstrate the separation on average of capsules of fixed size in the millifluidic device. However, in order to achieve reliable sorting, the trajectory angles of capsules with different stiffness must remain different in every repetition of the experiment. Fig. 5 shows all the values of β obtained at a fixed flow rate of $Q = 10$ ml min⁻¹. The data is grouped by particle and presented as a function of initial offset κ , using the same colour scheme as in Fig. 4. The initial offset of capsules, following their passage through the centring module (see Fig. 1(a)) was $|\kappa| \leq 0.5$ mm (*i.e.* $< 3\%$ of the width of the channel) for the capsule experiments and $|\kappa| \leq 1.2$ mm (*i.e.* $< 7.5\%$ of the width of the channel) for the bead.

35 The flow behaviours of capsules C2 and C3, which have values of $F_{50\%}$ differing by less than 16%, are indistinguishable because of the experimental scatter of the trajectory angles of approximately 10%. Fig. 4 also shows that there is no overlap

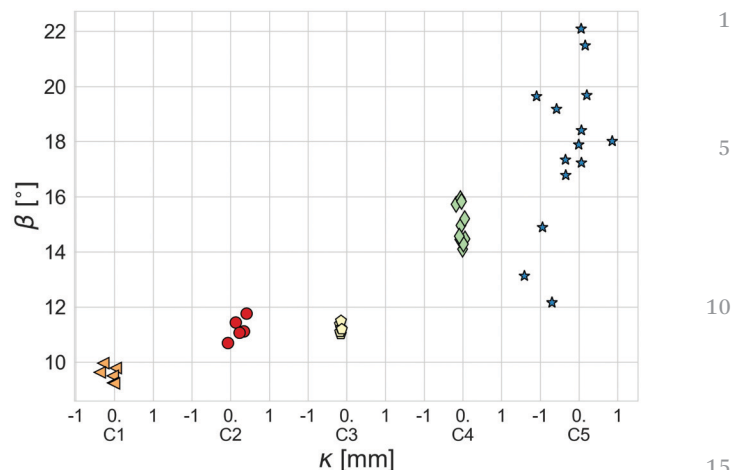
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Fig. 5 Trajectory angle β from individual experiments plotted as a function of the offset κ for each capsule shown in Fig. 2 for $Q = 10$ ml min⁻¹. The initial offset of the capsules is $|\kappa| \leq 0.5$ mm ($|\kappa|/D \leq 0.13$) and $|\kappa| \leq 1.2$ mm ($|\kappa|/D \leq 0.3$) for the elastic bead C5.

20 between the trajectory angles measured for capsules C1 and C2/C3, but that the largest value measured for C1 is only marginally smaller than for the smaller value obtained with C2. In fact, the minimum difference in trajectory angle between capsules C1 and C2 is $\Delta\beta = 0.8^\circ$, and thus the minimum distance separating the centroids of the capsules at the outlet of the diffuser is $\Delta\zeta = 0.4$ mm (10% of diameter), in contrast with $\Delta\beta = 1.5^\circ$ ($\Delta\zeta = 1$ mm) on average. This means that the factor of approximately 1.5 between the stiffness of C1 and C2/C3 is the smallest contrast that can be resolved by the millimetric device.

25 The most striking feature of Fig. 4 is the significant increase in the experimental scatter of β with increasing stiffness of the particle, which does not appear to be strongly correlated to the initial centring of the capsules, see also Section 4. The scatter varies from approximately 1° for the three softest capsules to 2° for capsule C4 and 10° for the elastic bead C5. This means that the elastic bead cannot be reliably separated from capsule C4. However, capsule C4 can easily be separated from the softer capsules tested. We attribute this behaviour to the propensity of the softer particles tested to deform in flow (away from the obstacle). If the position of a capsule in a channel is perturbed, its shear-induced deformation generates lift forces that restore it to the centreline of the channel.³¹ This means that the value of β is only weakly sensitive to small positional errors and non-uniformities in the sphericity or membrane thickness of these softer capsules. In contrast, the absence of lift forces in the flow of elastic beads over the range of flow rates investigated renders their pathway highly sensitive to small variations in experimental conditions. Hence, our measurements indicate that the decreasing level of fluctuation in particle position as the particle becomes softer is a key factor in making sorting realizable in practice.

30 Although capsules C1 and C2 are clearly separated in terms of the trajectory angle β , their actual separation distance measured at the outlet of the 27 mm diffuser amounts to ~ 1 mm, which is less than the capsule diameter of 3.9 mm.

50
55

1 Hence, the millimetric device provides a proof of concept of the
 2 sorting of capsules according to their stiffness, but segregating
 3 capsules into different channels would require a longer diffuser.
 4 This would enhance the separation distance at the cost of
 5 at least a tenfold increase in transit time, because a diffuser
 6 length ≥ 108 mm would be required to achieve a separation
 7 of 4 mm.

3.3 Sorting of capsule suspensions and effect of polydispersity

10 Having demonstrated the sorting mechanism proposed by Zhu
 11 *et al.*²⁰ experimentally using carefully-manufactured individual
 12 millicapsules, we now address its application in microfluidic
 13 devices by investigating the flow of dilute microcapsule sus-
 14 pensions through the sorter. We focus on the influence of capsule
 15 polydispersity because the fixed size of the sorting device
 16 means that the confinement of the capsules as they propagate
 17 past the half-cylinder constriction depends on their size. Also,
 18 increasing the diameter of the capsules reduces their resistance
 19 to deformation, so that larger capsules are also more readily
 20 deformed when propagating through the sorter for given values
 21 of the flow strength and capsule shear modulus.

22 Fig. 6(a and b) compares the trajectory angles β of soft and stiff
 23 capsules as a function of their diameter D . The dashed line at $D = 50$
 24 μm indicates the minimum width of the constriction between the
 25 half cylinder and the channel wall. For low flow strength (Fig. 6(a)),
 26 the trajectory angles for soft and stiff capsules overlap across
 27 available capsule sizes and thus, the capsules are not separated
 28 in the sorter. By contrast, for high flow strength (Fig. 6(b)),
 29 the trajectory angles of soft and stiff capsules begin to diverge for
 30 $D \gtrsim 50$ μm . Despite significant scatter in the data, the increasing
 31 difference in trajectory angle with increasing diameter indicates that
 32 the sorter can reliably separate soft and stiff capsules with a stiffness
 33 factor of 2.7 for $D \gtrsim 75$ μm .

34 The millifluidic experiments reported in Section 3.2 were
 35 performed for capsule diameters less than the constriction
 36 width and thus, they are most closely related to the microcap-
 37 sule experiments performed for $D < 50$ μm . However, the
 38 microsorter is unable to separate soft and stiff microcapsules
 39 within this capsule size range for both high and low flow
 40 strengths. This is because the pressure range available in the
 41 microfluidic device was not sufficient to impose flow strengths
 42 that significantly deformed the smaller capsules, even the soft
 43 ones. For example, soft capsules with diameters less than $D = 32$
 44 μm did not visibly deform on the half-cylinder obstacle at
 45 high flow strength and likewise for diameters less than $D = 53$
 46 μm at low flow strength. However, soft capsules exhibited
 47 increasing deformation as their diameter increased (see
 48 Fig. 6(c and d)).

49 The trajectory angle of capsules with $D < 50$ μm increases
 50 with D in a similar way for soft and stiff capsules in Fig. 6(b)
 51 and this trend is also visible in Fig. 6(a), although the data is
 52 more scattered. This is because larger capsules occupy a larger
 53 proportion of the constriction so that their centroid positions
 54 move further away from the surface of the half cylinder result-
 55 ing in larger trajectory angles. However, the trajectory angle
 56 does not exceed 24° across experiments, consistent with the

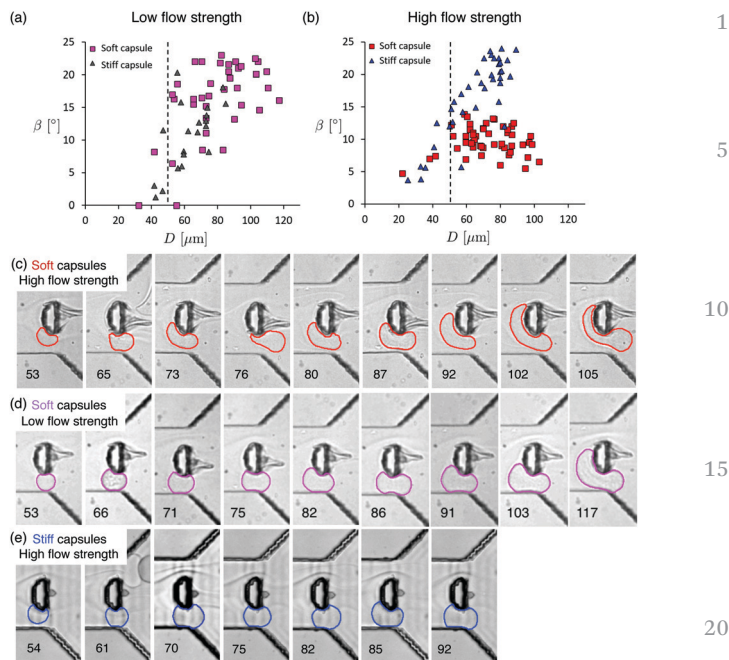


Fig. 6 Trajectory angle β as a function of the capsule diameter D : (a) low flow strength ($U = 0.9 \pm 0.2$ mm s^{-1}); (b) high flow strength ($U = 4.9 \pm 0.4$ mm s^{-1}). The maximum initial offsets $|\kappa|/D$ of the capsule centroids varied depending on capsule size between 0.1 and 0.65 for soft capsules and 0.16 and 0.95 for stiff capsules. Average values of $|\kappa|/D$ varied between 0.04 and 0.25 for soft capsules and 0.07 and 0.45 for stiff capsules. (c–e) Snapshots of the capsules in the most constricted section of the channel obstructed by the half-cylinder obstacle for the values of capsule diameter shown in (a and b): (c) soft capsules at high flow strength; (d) soft capsules at low flow strength; (e) stiff capsules at high flow strength. The number in each image indicates the diameter of the capsule in μm . The small variations in the position of the capsules in the device at high flow strength is due to the limited number of frames available.

value β_{max} identified in experiments with lycopodium particles, see Section 2.3.

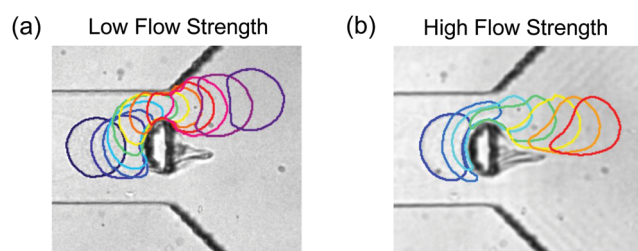
Capsules which exceed the width of the constriction ($D \geq 50$ μm) even after deformation on the half cylinder obstacle are most strongly diverted from the centreline of the device because they fill the entire constriction as they squeeze through, see Fig. 6(d and e). This is the case for soft capsules at low flow strength (Fig. 6(a)) and stiff capsules at high flow strength (Fig. 6(b)). Their trajectory angle appears to saturate close to β_{max} as D increases beyond the constriction width, albeit with significant scatter in the data. This is because the capsule front which first enters the diffuser when the capsule is pushed through the constriction remains approximately similar once the capsule is sufficiently elongated, *i.e.* for $D \approx 70$ μm . There is also a size threshold beyond which capsules get trapped in the constriction, which depends on flow strength and stiffness. At low flow strength, the diameter of the largest stiff capsule to clear the constriction was $D = 87$ μm , while in the soft population, capsules up to $D = 117$ μm were found to propagate through the sorter.

Soft capsules at high flow strength are less strongly deflected by the half cylinder obstacle than at low flow strength (Fig. 6).

1 Their trajectory angle appears to saturate at approximately 10°
for $D \approx 60 \mu\text{m}$. The comparison between soft capsules circum-
venting the obstacle at low and high flow strength in Fig. 7
5 indicates that the origin of the capsule deformation differs for
high and low flow strengths. Whereas the constriction imposes
the deformation of the capsule at low flow strength, at high
10 flow strength the capsule elongates sufficiently on the obstacle
so that it is narrower than the most constricted section of
channel. Aided by the resulting shear-flow through the con-
striction, it appears to wrap around the obstacle so that its
trajectory angle remains low. The increasing elongation of the
capsule with increasing diameter means that the width of the
capsule in the constriction remains approximately constant,
15 resulting in a constant trajectory angle. Hence, the mechanism
for separation of soft and stiff capsules at high flow strength is
different from that proposed by Zhu *et al.*²⁰ in that it operates
for capsules larger than the constriction and relies on the
constriction to divert the stiffer capsules to large trajectory
20 angles, while the softer capsules acquire low trajectory angles
by deforming on the half cylinder obstacle in the usual way.

4 Discussion and conclusion

25 We have tested the sorting device proposed in the numerical
study by Zhu *et al.*²⁰ with two complementary experimental
approaches. The device consists of a half-cylinder centred at the
end of a wide channel, so that a capsule propagating along the
centreline of the channel is compressed onto the cylinder,
30 resulting in its elongation tangentially to the cylinder's surface
for sufficiently large values of the capillary number. The deforma-
tion of the capsule as it circumvents the obstruction deter-
mines its trajectory in the downstream, linearly-expanding
channel. Thus, this device enables the sorting of capsules
35 according to their stiffness. Using accurately manufactured
millimetric capsules of fixed size and different stiffness, we
have shown that the angle of deviation of the capsule trajectory
from the centreline of the channel depends solely on an elastic
capillary number, based on the force required to deform the
40 capsule to 50% in compression tests. This measure indicates



50 Fig. 7 Time-lapse images of soft capsules with $D = 103 \mu\text{m}$ circumventing
the half cylinder obstacle: (a) low flow strength; (b) high flow strength. The
time interval between consecutive outlines is 20 ms at high flow strength,
but variable at low flow strength. The duration of the sequence shown is
600 ms at low flow strength and 140 ms at high flow strength. The
55 elongated grey trail behind the obstacle is due to particles (e.g., small
fragments of broken capsules) which are trapped in the stagnation region
behind the obstacle.

the resistance to deformation of the capsule and thus, includes
the effects of pre-stress and different membrane thickness
which are significant in our millicapsules. We find that we
can reliably separate capsules with a stiffness contrast down to
a factor of 1.5, but that the resolution of the device degrades as
5 the capsule stiffness increases.

The second experiment used a microfluidic device to sepa-
rate polydisperse populations of microcapsules according to
their shear modulus. The fixed size of the device meant that the
confinement of the capsules in the constriction increased with
capsule size. However, their resistance to deformation
10 decreases with size, so that larger capsules were more easily
deformed. We demonstrated that two polydisperse populations
of capsules with a factor of 2.7 between their shear moduli
could be separated in the sorter provided that their diameter
exceeds the width of the constriction by the side of the cylinder.
This separation method relies on the stiff capsules filling the
constriction as they squeeze through so that they adopt a large
trajectory angle close to the maximum of 24° . In contrast, the
soft capsules deform sufficiently on the obstacle so that they
20 can adopt a low trajectory angle which remains constant at
approximately 10° because the width of the capsules as they
circumvent the half-cylinder does not increase with
capsule size.

The large difference in trajectory angles achieved between
25 the two populations is key to the robust sorting of these
microcapsules because of the considerable fluctuations in the
data from the microfluidic device. Although these may in part
stem from imperfections in individual capsules, a key experi-
mental parameter influencing the trajectory angle is the initial
30 offset of the capsule from the centreline of the channel. In
millicapsules, we found that for moderate offsets of up to
12.5% of the capsule diameter variations in the trajectory angle
 β (and therefore also the displacement ζ) of up to $\pm 5\%$ were
weakly correlated with κ , implying the influence of other
35 experimental variabilities. Increasing the offset to 60% of the
capsule diameter yielded an approximately linear variation of
the trajectory angle with offset, which increased by a factor of
approximately 1.5. A similar trend was measured in the micro-
fluidic device but the sensitivity of the capsule trajectories to
40 the offset was considerably enhanced with an offset of 10% of
the capsule diameter resulting in a 30% increase in ζ . Hence,
the majority of the scatter in the data from the microfluidic
device can be attributed to variations in initial capsule offset
within that range.

Similar to the millifluidic experiments performed with indi-
vidual capsules, the microcapsule experiments were performed
with a dilute suspension, so that the propagation of each
capsule through the device was unaffected by neighbouring
capsules. At high flow strength, it took approximately 25 ms for
50 a capsule to circumvent the obstacle. If capsules were uniformly
separated by a device length, we could expect a throughput of
40 capsules per second without interaction between capsules.
However, when we accidentally released a train of microcap-
sules into the device, their trajectories remained similar to
55 those of individual capsules of the same size. Although these

1 experiments were not pursued in sufficient detail to enable firm
 2 conclusions to be drawn, it suggests that the throughput could
 3 be increased beyond 40 capsules per second. There may also be
 4 scope for reducing capsule transit times by enhancing flow and
 5 separation in the diffuser, *e.g.*, through optimisation of the
 6 diffuser geometry and the addition of fluid sources to increase
 7 volume flux.

8 Our capsules rarely ruptured in the millimetric device but in
 9 the micrometric devices, we observed a few ruptures for soft
 10 large capsules ($> 120 \mu\text{m}$) at high flow strength. The low rate of
 11 rupture is associated with our choice of proteic capsules.
 12 Albumin capsules can sustain large deformations without
 13 breaking, with rupture observed only for strains larger than
 14 70%.^{32,33} Such values are to be compared to limiting strains of
 15 the order of a few percent for polymeric capsules.³⁴ However,
 16 recent measurements obtained by the Compiègne group have
 17 shown that irreversible plastic deformations can occur at large
 18 strains below the limit of rupture.

19 Although we focused on spherical capsules in this paper,
 20 previous studies by the Compiègne group^{25,35} have demon-
 21 strated that spherical capsules adopt similar parachute shapes
 22 to RBCs,³⁶ when flowing through a narrow capillary. In addi-
 23 tion, recent experiments performed in a device similar to that
 24 investigated in this paper indicate that artificially stiffened
 25 RBCs tend to deviate marginally more than untreated RBCs,
 26 suggesting applicability to non-spherical objects.³⁷ However,
 27 further work is needed to investigate the robustness of this
 28 process in clinically relevant situations, *e.g.*, malaria where
 29 both the membrane of the RBC and its contents stiffen.

30 The ability to robustly sort polydisperse suspensions accord-
 31 ing to stiffness with a single device, without the need for sifting
 32 the particles according to size before perfusing them into the
 33 microchannel, opens new opportunities for high-throughput
 34 separation of cells, with applications to the diagnostic of, *e.g.*,
 35 sickle cell disease, malaria or certain types of cancers. Our
 36 proof-of-concept experiments have shown applicability over
 37 parameter ranges relevant to cells, with the demonstration of
 38 sorting over a factor two in capsule size and a good sensitivity of
 39 a factor of 2.7 in shear modulus, both appropriate for cells.

Conflicts of interest

There are no conflicts to declare.

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